



17-11 44 Sector 8  
Docket No.: 098023/184

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: DICKS et al.

Serial No.: 09/785,076

Official Filing Date: February 16, 2001

For: BROKERAGE METHOD AND SYSTEM

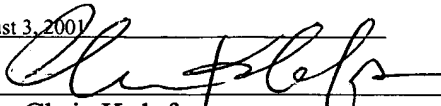
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on:

Date: August 3, 2001

Signature:

  
Chris Kolefas, Reg. No. 35,226

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
AND PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)**

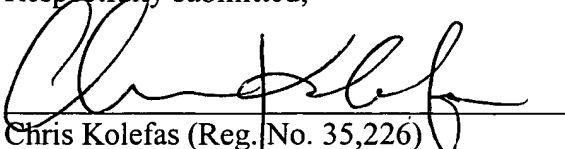
To complete the filing requirements for the above referenced application under 37 CFR 1.51, enclosed please find a signed Declaration and Power of Attorney executed in counterparts. A copy of the Notice to File Missing Parts of Application mailed March 15, 2001.

Applicant respectfully requests a three-month extension of time in which to respond to the Notice to File Missing Parts of Application mailed March 15, 2001 for which a response period expired on May 15, 2001. The extended period expires on August 15, 2001.

The commissioner is authorize to charge the amount of \$130.00 to cover the surcharge as set forth in 37 FR 1.16(e); \$710.00 in payment of the basic filing fee under 37 CFR 1.27. and \$1,390.00 for a three-month extension of time under 37 CFR 1.36(a) to Deposit Account No.: 50-0540. If any additional fees are due, the Commissioner is authorized to charge such fees or credit any overpayment in connection with this paper to Deposit Account No. 50-0540.

Respectfully submitted,

Dated: August 3, 2001

  
Chris Kolefas (Reg. No. 35,226)

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/785,076	02/16/2001		98023-184

CONFIRMATION NO. 3071

FORMALITIES LETTER



\*OC000000005864245\*

Kramer Levin Naftalis & Frankel LLP  
919 Third Avenue  
New York, NY 10022

Date Mailed: 03/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

*Kulmenyze Zentile*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/14/2001 MBERHE 00000034 500540 09785076

01 FC:101 710.00 CH  
02 FC:105 130.00 CH